### PATENT COOPERATION TREATY

From the INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

BAILEY WALSH & Co LLP 5 York Place Leeds LS1 2SD GRANDE BRETAGNE

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(PCT Rule 71.1)

IMPORTANT NOTIFICATION

Date of mailing (day/month/year)

21.03.2006

Applicant's or agent's file reference

AT-SAR-9703-PCT

International application No.

PCT/GB2004/004747

International filing date (day/month/year)

Priority date (day/month/year)

12.11.2004

14.11.2003

Applicant

CHEMLINK SPECIALITIES LTD et al

- The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary report on patentability and its annexes, if any, established on the international
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

### REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filling translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume I: of the PCT Applicant's Guide.

The applicant's attention is drawn to Article 33(5), which provides that the criteria of novelty, inventive step and industrial applicability described in Article 33(2) to (4) merely serve the purposes of international preliminary examination and that "any Contracting State may apply additional or different criteria for the purposes of deciding whether, in that State, the claimed inventions is patentable or not" (see also Article 27(5)). Such additional criteria may relate, for example, to exemptions from patentability, requirements for enabling disclosure, clarity and support for the claims.

Name and mailing address of the international preliminary examining authority:

European Patent Office - P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk - Pays Bas Tel. +31 70 340 - 2040 Tx: 31 651 epo nl Fax: +31 70 340 - 3016

Authorized Officer

Rossi, C

Tel. +31 70 340-3322



AVAILABLE COPY

### PATENT COOPERATION TREATY

## **PCT**

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file of AT-SAR-9703-PCT	reference FOR FURTHER	RACTION	See Form PCT/IPEA/416
International application N PCT/GB2004/004747		date (day,month/year)	Priority date (day/month/year) 14.11.2003
International Patent Class C11D3/39, C11D17/0	ification (IPC) or national classification a 04, C11D3/18, C11D3/37, C11D	and IPC 17/00	
Applicant CHEMLINK SPECIA	LITIES LTD et al		
This report is the     Authority under A	international preliminary examinational preliminary examination and transmitted to the app	on report, established b	y this International Preliminary Examining cle 36.
· ·	onsists of a total of 8 sheets, includ		
	o accompanied by ANNEXES, com		
	e applicant and to the International		eets, as follows:
☐ sheet and/o	s of the description, claims and/or d	rawings which have be	en amended and are the basis of this report ty (see Rule 70.16 and Section 607 of the
⊠ sheet beyor	s which supersede earlier sheets, b	out which this Authority I application as filed, as	considers contain an amendment that goes indicated in item 4 of Box No. I and the
, seguence	ne International Bureau only) a total e listing and/or tables related thereto ting to Sequence Listing (see Section	, in computer readable	umber of electronic carrier(s)) , containing a form only, as indicated in the Supplemental ative Instructions).
4. This report conta	ins indications relating to the follow	ing items:	:
Box No. 1	Basis of the opinion		
☐ Box No. II	Priority		
! ⊠ Box No. III	Non-establishment of opinion with	regard to novelty, inve	ntive step and industrial applicability
☐ Box No. IV	Lack of unity of invention		
Box No. V	Reasoned statement under Article applicability; citations and explana	: 35(2) with regard to no tions supporting such s	ovelty, inventive step or industrial statement
Box No. VI	Certain documents cited		
Box No. VII	Certain defects in the internationa		
☐ Box No. VIII	Certain observations on the intern	ational application	
Date of submission of the	demand	Date of completion	of this report
10.06.2005		21.03.2006	
Name and mailing address preliminary examining au	ithority:	Authorized Officer	Andrew Same
NL-2280 F Tel. +31 70	Patent Office - P.B. 5818 Patentlaan 2 IV Rijswijk - Pays Bas 0 340 - 2040 Tx: 31 651 epo nl	Loiselet-Taisne	. <b>9</b> . j
Fax: +31 7	70 340 - 3016	Telephone No. +3	1/0340-2//2

# 10/579167

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/GB2004/004747

_	Box No. I Basis of the re	port			
	With regard to the languag filed, unless otherwise indic	th regard to the <b>language</b> , this report is based on the international application in the language in which it was d, unless otherwise indicated under this item.			
	which is the language of international search publication of the in international prelimi	translations from the original language into the following language , f a translation furnished for the purposes of: (under Rules 12.3 and 23.1(b)) ernational application (under Rule 12.4) nary examination (under Rules 55.2 and/or 55.3)			
2.	have been furnished to the	s* of the international application, this report is based on (replacement sheets we receiving Office in response to an invitation under Article 14 are referred to in the dare not annexed to this report):	vhich nis		
	Description, Pages				
	1-13	as originally filed			
	Claims, Numbers				
	1-25	filed with telefax on 10.06.2005			
	☐ a sequence listing and	or any related table(s) - see Supplemental Box Relating to Sequence Listing			
3.	☐ the description, pag ☐ the claims, Nos. ☐ the drawings, shee ☐ the sequence listing	s <i>f</i> iigs			
4.	had not been made, since Supplemental Box (Rule 70  the description, page the claims, Nos. 4, the drawings, sheether the sequence listin any table(s) related	nes 11 s/figs g (specify): to sequence listing (specify):	ow the		
	* If item 4 applies	, some or all of these sheets may be marked "superseded."			

# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/GB2004/004747

Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability					
The	The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:				
	the entire international application,				
☒	claims Nos. 4 and 11				
	because:				
	the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (specify):				
Ø	the description, claims or drawings (indicate particular elements below) or said claims Nos. 4 and 11 are so unclear that no meaningful opinion could be formed (specify):				
	see separate sheet				
	the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.				
	no international search report has been established for the said claims Nos.				
	the nucleotide and/or amino acid sequence listing does not comply with the standard provided for in Annex C of the Administrative Instructions in that:				
	the written form		has not been furnished		
			does not comply with the standard		
	the computer readable form		has not been furnished		
			does not comply with the standard		
	the tables related to the nucleotide and/or amino acid sequence listing, if in computer readable form only, do not comply with the technical requirements provided for in Annex C-bis of the Administrative Instructions.				
	See separate sheet for further	deta	ils		

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

7-10, 12, 13, 18-25

No: Claims

.1-3, 5-6, 14-17

Inventive step (IS)

Yes: Claims

20-24

No: Claims

1-3, 5-10, 13-19, 25

Industrial applicability (IA)

Yes: Claims

1-3, 5-10, 12-25

No: Claims

2. Citations and explanations (Rule 70.7):

see separate sheet



# INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (SEPARATE SHEET) \_\_\_\_\_

International application No.

PCT/GB2004/004747

#### Re Item I

### Basis of the report

The report is based on the amended claims 1-3, 5-10, 12-25 and the originally filed renumerated claims 4 and 11, for the following reasons:

### 1.1 Allowable amendments

Claim 1 has been amended to include the features of original claims 3 and 6 and to relate to a cleaning composition, the basis of which can be found on page 11 line 1.

Original claims 20 and 22 have been amended to include the feature of the original claim

3. New claim 25 has been added and has for basis page 11, line 1 of the description. In accordance with Art. 34(2)(b) PCT, such amendments do not go beyond the disclosure in the international application as filed and are therefore allowable.

Therefore the report is based on such amended claims and the renumerated claims.

#### 1.2 Unallowable amendment

The reference to % in the application has been amended to relate to % by weight in the amended claims 4 and 11 without any basis in the international application as filed. Therefore such amendments go beyond the disclosure in the international application as filed, which contravenes Art. 34(2)(b) PCT, and are therefore unallowable. Therefore the report should be based on the renumerated claims 4 and 11 as originally filed.

#### Re Item III

# Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

The application as originally filed did not meet the requirements of Article 6 PCT, because % in the composition were given without reference about the method of measurement (in weight or in volume), which rendered the claims 5 and 13 as originally filed, the examples and the description (p.6 par.5, p.7 par.2, p.8 par.6, p.11 par.2) not clear. As amended renumerated claims 4 and 11, which aimed to overcome the clarity objection concerning these originally filed claims, are not allowable (see above paragraph 1.2) and as such unclarity still persists, then these claims would not be considered in the report.

PCT/GB2004/004747

### Re Item V

### V.1 State of the art

The following documents are referred to in this communication:

D1: US 5 328 489 A (BEAUJEAN HANS-JOSEF ET AL) (1994-07-12)
D2: US-A-5 783 657 (PAVLIN ET AL) (1998-07-21)
D3: US 6 228 825 B1 (FIORE MELISSA ET AL) (2001-05-08)

### V.2 Novelty objection

The present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of claims 1-3, 5-6, 14-17 is not new in regard of D1 in the sense of Article 33(2) PCT.

D1 does not disclose a composition located in a single compartment of an V.2.1 aqueous sensitive device, nevertheless the wording "locatable in a single compartment of an aqueous sensitive device" is a limiting feature of the scope of protection only in the sense that the composition should not contain an amount of water sufficient to disintegrate the aqueous sensitive device. As worded "locatable" it can also be not "located". Document D1 discloses (see D1: claims 1, 2 and 3 and examples 2 and 7) a cleaning composition suitable for use in a laundry washing process, including at least one aqueous sensible component (50-65% by weight of perborate monohydrate bleach) suspended in a non-aqueous liquid carrier including paraffin oil, which is a mineral oil explicitly used in examples 2 and 7 and claimed in claim 2, an emulsifying agent (C12/18 coconut oil alcohol + 7 EO) and an auxiliary component specifically in form of soap used to increase the viscosity of the liquid phase because soap constitutes the only exemplified auxiliary component (see D1: ex. 7, claims 1, 2, 3, col.2 lines 52-53, which combine all the features of new claim 1). This final composition is packed in plastic tubes or cartridges (see col.3 1.43-44) and therefore these tubes or cartridges form a device suitable for containing such composition.

Therefore the subject-matter of amended claims 1-3, 5-6, 14-16 is not new.

V.2.2 **D3** discloses a water soluble package comprising a nonaqueous liquid automatic dishwashing composition, which is detrimental to the novelty to claims 16 and 17, which are directed to device **suitable** for containing composition as defined in claim 1 but which is also suitable for the composition as defined in D3.

Therefore the subject-matter of amended claims 16 and 17 is not new.

### V.3 Inventive step objection in light of D3 in combination with D2

The present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of claims 18, 19 and then also of claims 1-3, 5-10, 13-17 and 25 does not involve an inventive step in the sense of Article 33(3) PCT.

The document **D3** is regarded as being the closest prior art to the subject-matter of claim 18.

D3 discloses (claims 1 and 2, col.4 line 46 to col.5 line 7, example 1, col.3 lines 54-57) a water soluble sachet containing a dishwashing composition contained in a single compartment, said composition including (viii) enzyme, (viiii) a peroxygen bleaching agent, (x) a bleach activator and (b) a non-aqueous carrier component including (i) PEG Mw= 200 - 1,200 and (ii) polyethylene glycol having a molecular weight of 2,600 to 4,000 as a thickener for the nonaqueous liquid dishwashing composition (see claims 1, 2, ex.1), nonionic surfactant, which is a good emulsifying agent.

The subject-matter of claim 18 differs to D3 in the addition of **mineral oil** to a gelling agent of the non-aqueous carrier component.

The problem to be solved by the present invention may therefore be regarded as to provide a non-aqueous carrier component with better stability with time (see in the present application column 3 comments of the Applicant towards acknowledged D3) and advantageous in terms of cost (see in the present application the last paragraph of the description).

However, these features have already been employed for the same purpose in a similar composition.

Document **D2** comes from an allied technical field and discusses gels suitable for household cleaning (see D2: col.3 l.41). D2 (see D2: claims 1, 14, 18, col.2 l.9-18, col.14 l.43-47) teaches a **self supporting gel** of pure hydrocarbon, which is a mineral oil, in combination of a gelling agent in a non-aqueous carrier component to provide personal care relatively inexpensive, which can also be used in household cleaner formulation. D2 discloses a method of manufacturing a composition for cleaning process containing mineral oil and a gelling agent, said method includes the steps of mixing the mineral oil and the gelling agent (ester-terminated polyamide resin) together at elevated temperature until the resin completely dissolves in the mineral oil (see cited passages of D2) to form homogenous mixture. It would be obvious to the person skilled in the art, namely when the same result is to be achieved, to apply these features with corresponding effect to the

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (SEPARATE SHEET)

PCT/GB2004/004747

composition contained in a water-soluble sachet, according to document D3, thereby arriving at a water soluble sachet containing such composition according to claim 18.

Therefore, the subject-matter of claims 1-3, 5-10, 13-19 and 25 does not involve an inventive step

### V.6 INDEPENDENT CLAIM 20 and its dependent claims 21-24

The subject-matter of claim 20 and its dependent claims 21-24 is new and involves an inventive step.

The document **D2** is regarded as being the closest prior art to the subject-matter of claim 20.

D2 discloses (the references in parentheses applying to this document) a method of manufacturing a composition for cleaning process containing mineral oil and a gelling agent, said method includes the steps of mixing the mineral oil and the gelling agent (ester-terminated polyamide resin) together at elevated temperature until the resin completely dissolves in the mineral oil (see cited passages of D2) to form homogenous mixture.

The subject-matter of claim 20 differs to D2 in that the method comprises a further thereafter step consisting in adding an **emulsifying agent** to the mixture and said method is directed to the manufacture of cleaning composition according claim 1, therefore containing **aqueous sensitive component**.

The problem to be solved by the present invention may therefore be regarded as method of manufacturing a cleaning composition containing an aqueous sensitive component for prolonged periods of time in liquid or gel formulation which would not disintegrate the water soluble sachet.

None of the cited documents discloses such a problem.

Therefore the subject-matter of claim 20 and of its depending claims 21-24 is new and involves an inventive step.



## AP20 Rac'd PCT/PTO 12 MAY 2006

### Claims:

- 1. A cleaning composition suitable for use in a cleaning or washing process, said composition locatable in a single compartment of an aqueous sensitive device and including at least one aqueous sensitive component and a non-aqueous carrier component for stabilising said aqueous sensitive component, characterised in that said non-aqueous carrier component includes a mineral oil, a gelling agent and an emulsifying agent for emulsifying the mineral oil.
- 2. A composition according to claim 1 characterised in that the aqueous sensitive component is substantially homogeneous with the non-aqueous carrier component.
- 3. A composition according to claim 1 characterised in that the emulsifying agent is or includes a surfactant.
- 4. A composition according to claim 1 characterised in that the emulsifying agent is provided in an amount between 1-10% by weight in the composition.
- 5. A composition according to claim 1 characterised in that the aqueous sensitive device is an aqueous soluble sachet.
- 6. A composition according to claim 1 characterised in that in that the aqueous sensitive component is or includes a bleaching agent.
- 7. A composition according to claim 6 characterised in that the bleaching agent is a halogen based bleaching agent.

- 8. A composition according to claim 6 characterised in that the bleaching agent includes any or any combination of sodium percarbonate, dichlorocyanurate, bromo-hydantoin or tetracetylethylene diamine.
- 9. A composition according to claim 6 characterised in that the composition includes a bleach activator for activating said bleaching agent when mixed with an aqueous solution.
- 10. A composition according to claim 1 characterised in that the aqueous sensitive component is or includes an enzyme.
- 11. A composition according to claim 1 characterised in that the gelling agent is provided in an amount between 1-10% by weight of the composition.
- 12. A composition according to claim 1 characterised in that the gelling agent is a tertiary amide terminated polyamide or hydrocarbon -terminated polyamide block copolymer.
- 13. A composition according to claim 1 characterised in that the composition includes any or any combination of one or more detergent builders, fragrant components, inert fillers, water softeners, detergents, pigments, dyes or optical brighteners and enzymes.
- 14. A composition according to claim 1 characterised in that the mineral oil is  $C_{20}$ - $C_{28}$  paraffin.
- 15. A composition according to claim 1 characterised in that the gelling agent is substantially soluble in the mineral oil.

- 16. A device for containing a composition as defined in claim 1.
- 17. A device according to claim 16 characterised in that the device is an aqueous sensitive device.
- A water soluble sachet, said sachet containing a 18. cleaning composition suitable for use in a cleaning or washing process, said composition contained in a single soluble sachet, in said water compartment composition including at least one bleaching agent, one or more bleach activating agents and a non-aqueous carrier bleaching stabilising said component for characterised in that said non-aqueous carrier component includes a mineral oil, a gelling agent substantially soluble in said mineral oil and an emulsifying agent for emulsifying the mineral oil.
- 19. A water soluble sachet according to claim 18 characterised in that one or more enzymes are contained in the composition and/or sachet.
- 20. A method of manufacturing a cleaning composition according to claim 1, characterised in that said method includes the steps of mixing the mineral oil and the gelling agent together at a temperature at or above the melting point of the gelling agent until the gelling agent substantially dissolves in the mineral oil to form a substantially homogenous mixture, thereafter adding an emulsifying agent to the mixture.
- 21. A method according to claim 20 characterised in that the composition is cooled to a pre-determined temperature

whereupon one or more water softener components and/or chelating agents are added to the composition.

- 22. A method according to claim 20 characterised in that the temperature is 40°C or below.
- 23. A method according to claim 20 characterised in that one or more bleaching agents and/or bleach activators and are then added to the composition.
- 24. A method according to claim 20 characterised in that one or more bleaching agents, bleach activators and/or enzymes are then added to the composition.
- 25. A composition according to claim 1 characterised in that it is an auto dishwashing gel composition.

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

### **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

OTHER:

### IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.